



FORM PTO - 1449

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-011 (4006/23)

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

CONF.: 8264

FILING DATE: February 09, 2001 GROUP: 1644

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	07/348,237	5/5/89	Rosenblum et al.			
	A2	4,196,265	4/1/80	Koprowski et al.			
	A3	4,469,797	9/4/84	Albarella			
	A4	4,676,980	6/30/87	Segal et al.			
	A5	4,816,567	3/28/89	Cabilly et al.			
	A6	4,946,778	8/7/90	Ladner et al.			
	A7	5,019,368	5/28/91	Epstein et al.			
	A8	5,073,627	12/17/91	Curtis et al.			
	A9	5,114,711	5/19/92	Bell et al.			
	A10	5,116,964	5/26/92	Capon et al.			
	A11	5,199,942	4/6/93	Gillis			
	A12	5,225,538	7/6/93	Capon et al.			
	A13	5,225,539	7/6/93	Winter			
	A14	5,258,498	11/2/93	Huston et al.			
	A15	5,314,995	5/24/94	Fell, Jr. et al.			
	A16	5,349,053	9/20/94	Landolfi			
	A17	5,359,035	10/25/94	Habermann			
	A18	5,514,582	5/7/96	Capon et al.			
	A19	5,538,866	7/23/96	Israeli et al.			
	A20	5,541,087	7/30/96	Lo et al.			
	A21	5,543,297	8/6/96	Cromlish, et al.			
	A22	5,552,524	09/03/96	Basinski et al.			
	A23	5,585,089	12/17/96	Queen et al.			
	A24	5,609,846	3/11/97	Goldenberg			
	A25	5,624,821	4/29/97	Winter et al.			

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<i>all</i>	A26	5,639,725	6/17/97	O'Reilly et al.			
	A27	5,645,835	7/8/97	Fell, Jr. et al.			
	A28	5,650,492	7/22/97	Gately et al.			
	A29	5,667,776	9/16/97	Zimmerman et al.			
	A30	5,679,543	10/21/97	Lawlis			
	A31	5,691,309	11/25/97	Basinski et al.			
	A32	5,709,859	1/20/98	Aruffo et al.			
	A33	5,719,266	02/17/98	DiMarchi et al.			
	A34	5,726,044	3/10/98	Lo et al.			
	A35	5,728,552	3/17/98	Fujisawa et al.			
	A36	5,733,876	3/31/98	O'Reilly et al.			
	A37	5,756,461	05/26/98	Stephens			
	A38	5,759,551	6/2/98	Ladd et al.			
	A39	5,770,195	6/23/98	Hudziak et al.			
	A40	5,800,810	9/1/98	Doyle et al.			
	A41	5,807,715	9/15/98	Morrison et al.			
	A42	5,827,516	10/27/98	Urban et al.			
	A43	5,837,682	11/17/98	Folkman et al.			
	A44	5,843,423	12/1/98	Lyman et al.			
	A45	5,854,205	12/29/98	O'Reilly et al.			
	A46	5,856,298	1/5/99	Strickland			
	A47	5,858,347	1/12/99	Bauer et al.			
	A48	5,885,795	3/23/99	O'Reilly et al.			
	A49	5,886,178	3/23/99	Allen et al.			
	A50	5,888,772	3/30/99	Okasinski et al.			
	A51	5,922,685	7/13/99	Rakhmilevich et al.			
	A52	5,994,126	11/30/99	Steinman et al.			
✓	A53	6,080,409	6/27/00	Laus et al.			

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FORM PTO - 1449	ATTORNEY DOCKET NO.: LEX-011 (4006/23)
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	APPLICANT(S): Gillies et al.
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<i>gals</i>	A54	6,086,875	7/11/00	Blumberg et al.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	A55	6,169,070	1/2/01	Chen et al.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	A56	6,171,588	1/9/01	Carron et al.	<input type="checkbox"/>	<input type="checkbox"/>	
	A57	6,277,375	8/21/01	Ward	<input type="checkbox"/>	<input type="checkbox"/>	
	A58	6,348,192	2/19/02	Chan et al.	<input type="checkbox"/>	<input type="checkbox"/>	
	A59	6,406,689	6/18/02	Falkenberg et al.	<input type="checkbox"/>	<input type="checkbox"/>	

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
<i>gals</i>	B1	0 158 198 A1	10/16/85	EP	<input type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B2	0 211 769 A2	2/25/87	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B3	0 256 714 A2	2/24/88	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B4	0 294 703 A2	12/14/88	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B5	0 308 936 B1	3/29/89	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B6	0 314 317 B1	5/3/89	EP	<input checked="" type="checkbox"/>	<input type="checkbox"/>			Y
	B7	0 318 554 B1	6/7/89	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B8	0 319 012 A2	6/7/89	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B9	0 326 120 B1	8/2/89	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B10	0 350 230 A2	1/10/90	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B11	0 375 562 B1	6/27/90	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B12	0 396 387 A2	11/7/90	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B13	0 439 095 A2	7/31/91	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B14	0 511 747 A1	11/4/92	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B15	0 601 043 B1	6/15/94	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y
	B16	0 640 619 A1	3/1/95	EP	<input type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B17	0 668 353 A1	8/23/95	EP	<input type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B18	0 706 799 A2	4/17/96	EP	<input type="checkbox"/>	<input checked="" type="checkbox"/>			Y
<i>✓</i>	B19	0 790 309 A1	8/20/97	EP	<input type="checkbox"/>	<input type="checkbox"/>			Y

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<i>out</i>	B20	21725/88	3/23/89	AU	—	—			Y
	B21	93100115.3	7/14/93	CN	—	—			N
<i>out</i>	B22	93100115.3	7/14/93	CN	—	—		Y	Y
	B23	37 12985 A1	11/3/88	DE	—	—			N
<i>out</i>	B24	37 12985	11/2/88	DE	—	—		Y	Y
<i>out</i>	B25	2 292 382 A	2/21/96	GB	—	—			Y
	B26	63-267278	11/4/88	JP	—	—			N
<i>out</i>	B27	63-267278	11/4/88	JP	—	—		Y	Y
	B28	63-267296	11/4/88	JP	—	—			N
<i>out</i>	B29	63-267296	11/4/88	JP	—	—		Y	Y
	B30	0 237 019 A2	9/16/87	EP	—	—		English counterpart of JP 63-267296	Y
	B31	WO 86/01533	3/13/86	PCT	—	—			Y
	B32	WO 88/00052	1/14/88	PCT	—	—			Y
	B33	WO 88/09344	12/1/88	PCT	—	—			Y
	B34	WO 89/02922	4/6/89	PCT	—	—			Y
	B35	WO 89/09620	10/19/89	PCT	—	—		Abstract in English	N
	B36	WO 90/03801	4/19/90	PCT	—	—			Y
	B37	WO 91/00360	1/10/91	PCT	—	—			Y
	B38	WO 91/04329	04/04/91	PCT	—	—			Y
	B39	WO 91/08298	6/13/91	PCT	—	—			Y
	B40	WO 91/13166	9/5/91	PCT	—	—			Y
	B41	WO 91/14438	10/3/91	PCT	—	—			Y
	B42	WO 92/02240	2/20/92	PCT	—	—			Y
	B43	WO 92/08495	5/29/92	PCT	—	—			Y
	B44	WO 92/08801	5/29/92	PCT	—	—			Y
	B45	WO 92/16562	10/1/92	PCT	—	—			Y
	B46	WO 93/03157	2/18/93	PCT	—	—			Y
<i>✓</i>	B47	WO 93/10229	5/27/93	PCT	—	—			Y

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<i>Del</i>	B48	WO 94/24160	10/27/94	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B49	WO 94/25055	11/10/94	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B50	WO 95/05468	2/23/95	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B51	WO 95/21258	8/10/95	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B52	WO 95/28427	10/26/95	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B53	WO 95/31483	11/23/95	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B54	WO 96/04388	02/15/96	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B55	WO 96/05309	2/22/96	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B56	WO 96/08570	3/21/96	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B57	WO 96/31526	10/10/96	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B58	WO 97/00317	1/3/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B59	WO 97/15666	5/1/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B60	WO 97/20062	6/5/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B61	WO 97/24137	7/10/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B62	WO 97/24440	7/10/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B63	WO 97/26335	7/24/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B64	WO 97/30089	8/21/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B65	WO 97/33617	9/18/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B66	WO 97/33619	9/18/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B67	WO 97/34631	9/25/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B68	WO 97/43316	11/20/97	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B69	WO 98/00127	1/8/98	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B70	WO 98/06752	2/19/98	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B71	WO 98/28427	7/2/98	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B72	WO 98/30706	7/16/98	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B73	WO 98/46257	10/22/98	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
	B74	WO 98/59244	12/30/98	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y
<input checked="" type="checkbox"/>	B75	WO 99/02709	01/21/99	PCT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			Y

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✓	B76	WO 99/03887	01/28/99	PCT	✓	✓			Y
	B77	WO 99/29732	6/17/99	PCT	✓	✓			Y
	B78	WO 99/52562	10/21/99	PCT	✓	✓			Y
	B79	WO 99/53958	10/28/99	PCT	✓	✓			Y
	B80	WO 99/60128	11/25/99	PCT	✓	✓			Y
	B81	WO 99/62944	12/09/99	PCT	✓	✓			Y
	B82	WO 99/66054	12/23/99	PCT	✓	✓			Y
	B83	WO 00/11033	3/2/00	PCT	✓	✓			Y
	B84	WO 00/34317	06/15/00	PCT	✓	✓			Y
	B85	WO 00/40615	7/13/00	PCT	✓	✓			Y
	B86	WO 00/68376	11/16/00	PCT	✓	✓			Y
	B87	WO 00/69913	11/23/00	PCT	✓	✓			Y
	B88	WO 00/78334 A1	12/28/00	PCT	✓	✓			Y
	B89	WO 01/07081 A1	2/1/01	PCT	✓	✓			Y
	B90	WO 01/10912- A1	2/15/01	PCT	✓	✓			Y
	B91	WO 03/015697 A2	2/27/03	PCT	✓	✓			Y
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
✓	C1	Abaza et al., (1992), "Effects of Amino Acid Substitutions Outside an Antigenic Site on Protein Binding to Monoclonal Antibodies of Predetermined Specificity Obtained by Peptide Immunization," <u>Journal of Protein Chemistry</u> , 11:5:433-444.							
	C2	Abstract XP-002116766, (1996), "Prostaglandins, their inhibitors and cancer," <u>Prostaglandins, Leukotrienes and Essential Fatty Acids</u> , 54:2:83-94.							
	C3	Afonso et al., (1994), "The Adjuvant Effect of Interleukin-12 in a Vaccine Against Leishmania Major," <u>Science</u> , 263:235-237.							
	C4	Arenberg et al. (1996), "Interferon-γ-inducible Protein 10 (IP-10) Is an Angiostatic Factor That Inhibits Human Non-small Cell Lung Cancer (NSCLC) Tumorigenesis and Spontaneous Metastases," <u>J. Exp. Med.</u> , 184:981-992.							

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<i>Def</i>	C5	Bacha et al., (1988), "Interleukin 2 Receptor-Targeted Cytotoxicity Interleukin 2 Receptor-mediated Action of a Diphtheria Toxin-related Interleukin 2 Fusion Protein," <u>J. Experimental Medicine</u> , 167:612-622
	C6	Bachelot et al., (March 1998), "Retrovirus-Mediated Gene Transfer of an Angiostatin-Endostatin Fusion protein with Enhanced Anti-Tumor Properties In Vivo," <u>Proceedings of the Annual Meeting of the American Association for Cancer Research</u> , 39:271, Abstract #1856.
	C7	Barnett et al., (1994), "Purification, characterization and selective inhibition of human prostaglandin G/H synthase 1 and 2 expressed in the baculovirus system," <u>Biochimica et Biophysica Acta</u> , 1209:130-139.
	C8	Baselga, et al., (1998), "Recombinant Humanized Anti-HER2 Antibody (Herceptin TM) Enhances the Antitumor activity of Paclitaxel and Doxorubicin against HER3/ <i>neu</i> Overexpressing Human Breast Cancer Xenografts," <u>Cancer Research</u> , 58:2825-2831.
	C9	Batra et al., (1993), "Insertion of Constant Region Domains of Human IgG1 into CD4-PE40 Increases Its Plasma Half-Life," <u>Mol. Immunol.</u> , 30:379-386.
	C10	Becker et al., (1996), "An Antibody-Interleukin 2 Fusion Protein Overcomes Tumor Heterogeneity by Induction of a Cellular Immune Response," <u>Proc. Natl. Acad. Sci.</u> , 93:7826-7831.
	C11	Becker et al., (1996), "Eradication of human hepatic and pulmonary melanoma metastases in SCID mice by antibody-interleukin 2 fusion proteins," <u>Proc. Natl. Acad. Sci. USA</u> , 93:2702-2707.
	C12	Beutler et al., (1988), "Tumor Necrosis, Cachexia, Shock, and Inflammation: A Common Mediator," <u>Ann. Rev. Biochem.</u> , 57:505-518.
	C13	Bissery et al., (1997), "The Taxoids," in <u>Cancer Therapeutics: Experimental and Clinical Agents</u> , Teicher ed., 175-193.
	C14	Bjorn et al., (1985), "Evaluation of Monoclonal Antibodies for the Development of Breast Cancer Immunotoxins," <u>Cancer Research</u> , 45:1214-1221.
	C15	Boehm et al., (1997), "Antiangiogenic therapy of experimental cancer does not induce acquired drug resistance," <u>Nature</u> , 390:404-407.
	C16	Boehm et al., (1998), "Zinc-Binding of Endostatin Is Essential for Its Antiangiogenic Activity," <u>Biochemical and Biophysical Research Communications</u> , 252:190-194.
	C17	Boissel et al., (1993), "Erythropoietin Structure-Function Relationships," <u>The Journal of Biological Chemistry</u> , 268:15983-15993.
	C18	Brooks et al., (1994), "Integrin $\alpha_v\beta_3$ Antagonists Promote Tumor Regression by Inducing Apoptosis of Angiogenic Blood Vessels," <u>Cell</u> , 79:1157-1164.
	C19	Buchli et al., (1993), "Structural and Biologic Properties of a Human Aspartic Acid-126 Interleukin-2 Analog," <u>Archives of Biochemistry and Biophysics</u> , 307:2:411-415.
<i>✓</i>	C20	Burgess et al., (1990), "Possible Dissociation of the Heparin-binding and Mitogenic Activities of Heparin-binding (Acidic Fibroblast) Growth Factor-1 from Its Receptor-binding Activities by Site-directed Mutagenesis of a Single Lysine Residue," <u>Journal of Cell Biology</u> , 111:2129-2138.

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Day	C21	Canfield et al., (1991), "The Binding Affinity of Human IgG for its High Affinity Fc Receptor is Determined by Multiple Amino Acids in the CH2 Domain and Is Modulated by the Hinge Region," <u>Journal of Experimental Medicine</u> , 173:6:1483-1491.
	C22	Cao et al., (1996), "Kringle Domains of Human Angiostatin," <u>The Journal of Biological Chemistry</u> , 271:46:29461-29467.
	C23	Cao et al., (1997), "Kringle 5 of Plasminogen is a Novel Inhibitor of Endothelial Cell Growth," <u>The Journal of Biological Chemistry</u> , 272:36:22924-22928.
	C24	Capon et al., (1989), "Designing CD4 immunoadhesins for AIDS therapy," <u>Nature</u> , 337:525-531.
	C25	Caton et al., (1986), "Structural and functional implications of a restricted antibody response to a defined antigenic region on the influenza virus hemagglutinin," <u>The EMBO Journal</u> , 5:7:1577-1587.
	C26	Chan et al., (1991), "Induction of Interferon γ Production by Natural Killer Cell Stimulatory Factor: Characterization of the Responder Cells and Synergy with Other Inducers," <u>J. Exp. Med.</u> , pp. 869-879.
	C27	Chang et al., (1989), "Overview of Interleukin-2 as an Immunotherapeutic Agent," <u>Seminars in Surgical Oncology</u> , 5:385-390.
	C28	Chang et al., (1996), "A Point Mutation in Interleukin-2 that Alters Ligand Internalization," <u>Journal of Biological Chemistry</u> , 271:23:13349-13355.
	C29	Chaudhary et al., (1988), "Selective killing of HIV-infected cells by recombinant human CD4-Pseudomonas exotoxin hybrid protein," <u>Nature</u> , 335:370-372.
	C30	Chaudhary et al., (1989), "A recombinant immunotoxin consisting of two antibody variable domains fused to Pseudomonas exotoxin," <u>Nature</u> , 339:394-397.
	C31	Chen et al., (1997), "Eradication of Murine Bladder Carcinoma by Intratumor Injection of a Bicistronic Adenoviral Vector Carrying cDNAs for the IL-12 Heterodimer and Its Inhibition by the IL-12 p40 Subunit Homodimer," <u>Journal of Immunology</u> , 159:1:351-358.
	C32	Cheon et al., (1994), "High-affinity binding sites for related fibroblast growth factor ligands reside within different receptor immunoglobulin-like domains," <u>Proc. Natl. Acad. Sci. USA</u> , 91: 989-993.
	C33	Chuang et al., (1993), "Effect of new investigational drug taxol on oncolytic activity and stimulation of human lymphocytes," <u>Gynecol. Oncol.</u> , 49:291-298.
	C34	Cohen, S. L. et al., (1996), "Human leptin characterization," <u>Nature</u> , 382:589.
	C35	Cole et al., (1997), "Human IgG2 Variants of Chimeric Anti-CD3 Are Nonmitogenic to T Cells," <u>Journal of Immunology</u> , 159:3613-3621.
	C36	Collins et al., (1988), "Identification of Specific Residues of Human Interleukin 2 that Affect Binding to the 70-kDa Subunit (p70) of the Interleukin 2 Receptor," <u>Proc. Natl. Acad. Sci.</u> , 85:7709-7713.
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ATTORNEY DOCKET NO.: LEX-011 (4006/23)

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/780,668

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<i>gaj</i>	C38	D'Amato et al., (1994), "Thalidomide is an inhibitor of angiogenesis," <u>Proc. Natl. Acad. Sci. USA</u> , 91:4082-4085.
	C39	D'Andrea et al., (1992), "Production of Natural Killer Cell Stimulatory Factor (Interleukin 12) by Peripheral Blood Mononuclear Cells," <u>J. Exp. Med.</u> , 176:1387-1398.
	C40	Ding et al., (1988), "Zinc-Dependent Dimers Observed in Crystals of Human Endostatin," <u>Proceedings of the National Academy of Sciences of USA</u> , 95:10443-10448.
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	C43	Fell et al., (1991), "Genetic Construction and Characterization of Fusion Protein Consisting of a Chimeric F(ab') with Specificity for Carcinomas and Human IL-2," <u>The J. of Immunology</u> , 146:7:2446-2452.
	C44	Fell et al., (1992), "Chimeric L6 antitumor antibody," <u>The J. of Biol. Chem.</u> , 267:15552-15558.
	C45	Friedman, J. M. et al., (1998), "Leptin and the regulation of body weight in mammals," <u>Nature</u> , 395:763-770.
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	C47	Gately et al., (1998), "The Interleukin-12/Interleukin-12 Receptor system: Role in Normal and Pathologic Immune Responses," <u>Annu. Rev. Immunol.</u> , 16:495-521.
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	C53	Gillies et al., (1993), "Biological Activity and In Vivo Clearance of Antitumor Antibody/Cytokine Fusion Proteins," <u>Bioconjugate Chem.</u> , 4:230-235.
<i>↓</i>	C54	Gillies et al., (1998), "Antibody-IL-12 fusion proteins are effective in SCID mouse models of prostate and colon carcinoma metastases," <u>J. Immunology</u> , 160:2:6195-6203.

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✓	C55	Gillis et al., (1978), "T Cell Growth Factor: Parameters of Production And A Quantitative Microassay for Activity," <u>Journal of Immunology</u> , 120:6:2027-2032.
	C56	Goeddel et al., (1986), "Tumor Necrosis Factors: Gene Structure and Biological Activities," <u>Pharm. Sciences</u> , pp. 597-609.
	C57	Gren et al., (1983), "A New Type of Leukocytic Interferon," <u>Dokl. Biochem.</u> , 269:91-95.
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	C60	Guyre et al., (1997), "Increased potency of Fc-receptor-targeted antigens," <u>Cancer Immunol. Immunother.</u> , 45:146-148.
	C61	Harris et al., (1993), "Therapeutic Antibodies - the Coming of Age," <u>Tibtech</u> , 11:42-44.
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	C65	He et al., (1998), "Humanization and Pharmacokinetics of Monoclonal Antibody with Specificity for Both E and P-Selectin," <u>J. Immunol.</u> , 1029-1035.
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	C67	Heinzel et al., (1997), "In Vivo Production and Function of IL-12 p40 Homodimers," <u>J. Immunol.</u> , 158:4381-4388.
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	C71	Hohenester et al., (1998), "Crystal Structure of the Angiogenesis Inhibitor Endostatin at 1.5 Å Resolution," <u>EMBO Journal</u> , 17:6:1656-1664.
✓	C72	Holden et al., (2001), "Augmentation of Anti-Tumor Activity of KS-IL2 Immunocytokine with Chemotherapeutic Agents," <u>Proceedings of the American Association for Cancer Research</u> , 42:683, Abstract No. 3675.

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<input checked="" type="checkbox"/>	C73	Holden et al., (2001), "Augmentation of Antitumor activity of an Antibody-Interleukin 2 Immunocytokine with Chemotherapeutic Agents," <u>Clinical Cancer Research</u> , 7:2862-2869.
<input type="checkbox"/>	C74	Hoogenboom et al., (1991), "Construction and expression of antibody-tumor necrosis factor fusion proteins," <u>Molecular Immunology</u> , 28:9:1027-1037.
<input type="checkbox"/>	C75	Hoogenboom et al., (1991), "Targeting of Tumor Necrosis Factor to Tumor Cells Secretion by Myeloma Cells of a Genetically Engineered Antibody-Tumor Necrosis Factor Hybrid Molecule," <u>Biochim. and Biophys. Acta</u> , 1096:4:345-354 (Abstract).
<input type="checkbox"/>	C76	Hornick et al., (1999), "Pretreatment with a monoclonal antibody/interleukin-2 fusion protein directed against DNA enhances the delivery of therapeutic molecules to solid tumors," <u>Clin. Cancer Res.</u> , 5:51-60.
<input type="checkbox"/>	C77	Hu et al., (1996), "A Chimeric Lym-1/Interleukin 2 Fusion Protein for Increasing Tumor Vascular Permeability and Enhancing Antibody Uptake," <u>Cancer Research</u> , 56:4998-5004.
<input type="checkbox"/>	C78	Huck et al., (1986), "Sequence of a human immunoglobulin gamma 3 heavy chain constant region gene: comparison with the other human Cy genes," <u>Nucleic Acids Research</u> , Vol. 14:4:1779-1789.
<input type="checkbox"/>	C79	Huse et al., (1989), "Generation of a Large Combinatorial Library of the Immunoglobulin Repertoire in Phage Lambda," <u>Science</u> , 246:1275-1281.
<input type="checkbox"/>	C80	Ingber et al., (1990), "Synthetic analogues of fumagillin that inhibit angiogenesis and suppress tumour growth," <u>Nature</u> , 348:555-557.
<input type="checkbox"/>	C81	Jones et al., (1986), "Replacing the complementarity-determining regions in a human antibody with those from a mouse," <u>Nature</u> , 321:6069:522-525.
<input type="checkbox"/>	C82	Ju et al., (1987), "Structure-Function Analysis of Human Interleukin-2," <u>Journal of Biological Chemistry</u> , 262:12:5723-5731.
<input type="checkbox"/>	C83	Jung et al., (1986), "Activation of human peripheral blood mononuclear cells by anti-T3: Killing of tumor target cells coated with anti-target-anti-T3 conjugates," <u>Proc. Natl. Acad. Sci.</u> , 83:4479-4483.
<input type="checkbox"/>	C84	Junghans et al., (1996), "The protection receptor of IgG catabolism is the B2-microglobulin-containing neonatal intestinal transport receptor," <u>Proc. Natl. Acad. Sci.</u> , 93:11:5512-5516.
<input type="checkbox"/>	C85	Kang et al., (1991), "Antibody redesign by chain shuffling from random combinatorial immunoglobulin libraries," <u>Proc. Natl. Acad. Sci.</u> , 88:11120-11123.
<input type="checkbox"/>	C86	Kappel et al., (1992), "Regulating gene expression in transgenic animals," <u>Current Opinion in Biotechnology</u> , 3:548-553
<input type="checkbox"/>	C87	Karpovsky et al., (1984), "Production of Target-Specific Effector Cells using Hetero-Cross Linked Aggregate Containing Anti-Target Cell and AntiFc γ Receptor Antibodies," <u>Journal of Experimental Medicine</u> , 160:6:1686-1701.
<input checked="" type="checkbox"/>	C88	Kim et al., (1997), "An Ovalbumin-IL-12 fusion protein is more effective than ovalbumin plus free recombinant IL-12 in inducing a T helper cell type 1-dominated immune response and inhibiting antigen-specific IgE production," <u>Journal Immunology</u> , 158:9:4137-4144.

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✓	C89	Kim et al., (1999), "Cytokine Molecular Adjuvants Modulate Immune Responses Induced by DNA Vaccine Constructs for HIV-1 and SIV," <u>Journal of Interferon and Cytokine Research</u> , 19:77-84.
✓	C90	Kranz et al., (1984), "Attachment of an anti-receptor antibody to non-target cells renders them susceptible to lysis by a clone of cytotoxic T lymphocytes," <u>Proc. Natl. Acad. Sci.</u> , 81:7922-7926.
✓	C91	Kuo et al., (2001), "Oligomerization-dependent Regulation of Motility and Morphogenesis by the Collagen XVIII NC1/Endostatin Domain," <u>Journal of Cell Biology</u> , 152:6:1233-1246.
✓	C92	LaVallie et al., (1993), "Cloning and Functional Expression of a cDNA Encoding the Catalytic Subunit of Bovine Enterokinase," <u>Journal of Biological Chemistry</u> , 268:31:23311-23317.
✓	C93	Lazar et al., (1988), "Transforming Growth Factor α : Mutation of Aspartic Acid 47 and Leucine 48 Results in Different Biological Activities," <u>Molecular and Cellular Biology</u> , 8:3:1247-1252.
✓	C94	LeBerthon et al., (1991), "Enhanced Tumor Uptake of Macromolecules Induced by a Novel Vasoactive Interleukin 2 Immunoconjugate," <u>Cancer Research</u> , 51:2694-2698.
✓	C95	Lieschke, et al., (1997), "Bioactive murine and human interleukin-12 fusion proteins which retain antitumor activity in vivo," <u>Nature Biotechnology</u> , 15:1:35-40.
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✓	C100	Lo et al., (1998), "High Level Expression and Secretion of Fc-X Fusion Proteins in Mammalian Cells," <u>Protein Engineering</u> , 11:6:495-500.
✓	C101	Lode et al., (1998), "Immunocytokines: a promising approach to cancer immunotherapy," <u>Pharmacol. Thera.</u> , 80:3:277-292.
✓	C102	Lode et al., (1998), "Natural Killer Cell-Mediated Eradication of Neuroblastoma Metastases to Bone Marrow by Targeted Interleukin-2 Therapy," <u>Blood</u> , 91:5:1706-1715.
✓	C103	Lode et al., (1999), "Synergy between an antiangiogenic integrin α_v antagonist and an antibody-cytokine fusion protein eradicates spontaneous tumor metastases," <u>Proc. Natl. Acad. Sci.</u> , 96:1591-1596.
✓	C104	Lode et al., (1999), "Tumor-targeted IL-2 amplifies T cell-mediated immune response induced by gene therapy with single-chain IL-12," <u>Proc. Natl. Acad. Sci.</u> , 96:8591-8596.
✓	C105	Lode et al., (2000), "Amplification of T Cell Mediated Immune Responses by Antibody-Cytokine Fusion Proteins," <u>Immunological Investigations</u> , 29:2:117-120.

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gus	C106	Maloney et al., (1994), "Phase I Clinical Trial Using Escalating Single-Dose Infusion of Chimeric Anti-CD20 Monoclonal Antibody (IDEC-C2B8) in Patients with Recurrent B-Cell Lymphoma," <u>Blood</u> , 84:8:2457-2466.
	C107	Mark et al., (1992), "Expression and characterization of hepatocyte growth factor receptor-IgG fusion proteins," <u>Journal of Biological Chemistry</u> , 267:36:26166-26171.
	C108	Martinotti et al., (1995), "CD4 T Cells Inhibit in vivo the CD8-Mediated Immune Response Against Murine Colon Carcinoma Cells Transduced with Interleukin-12 Genes," <u>Eur. J. Immunol.</u> 25:137-146.
	C109	Medesan et al., (1997), "Delineation of the Amino Acid Residues Involved in Transcytosis and Catabolism of Mouse IgG1 ¹ ," <u>J. Immunology</u> , 158:5:2211-2217.
	C110	Mestre et al., (1997), "Retinoids Suppress Epidermal Growth Factor-induced Transcription of Cyclooxygenase-2 in Human Oral Squamous Carcinoma Cells," <u>Cancer Research</u> , 57:2890-2895.
	C111	Mosmann et al., (1989), "TH1 and TH2 CELLS: Different Patterns of Lymphokine Secretion Lead to Different Functional Properties," <u>Ann. Rev. Immunol.</u> 7:145-173.
	C112	Mott et al., (1995), "The Solution Structure of the F42A Mutant of Human Interleukin 2," <u>J. Mol. Biol.</u> 247:979-994.
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	C115	Murphy, (1988), "Diphtheria-related peptide hormone gene fusions: A molecular gene approach to chimeric toxin development," <u>Immunotoxins</u> , 123-140.
	C116	Nedwin et al., (1985), "Human Lymphotoxin and Tumor Necrosis Factor Genes: Structure, Homology and Chromosomal Localization," <u>Nucleic Acids Research</u> , 13:17:6361-6373.
	C117	Netti et al., (1995), "Time-dependent behavior of interstitial fluid pressure in solid tumors: implications for drug delivery," <u>Cancer Research</u> , 55:5451-5458.
	C118	Netti et al., (1999), "Enhancement of fluid filtration across tumor vessels: implication for delivery of macromolecules," <u>Proc. Nat. Acad. Sci.</u> 96:3137-3142.
	C119	Neuberger et al., (1984), "Recombinant Antibodies Possessing Novel Effector Functions," <u>Nature</u> , 312:604-608.
	C120	O'Reilly et al., (1994), "Angiostatin: A Novel Angiogenesis Inhibitor That Mediates the Suppression of Metastases by a Lewis Lung Carcinoma," <u>Cell</u> , 79:315-328.
	C121	O'Reilly et al., (1996), "Angiostatin induces and sustains dormancy of human primary tumors in mice," <u>Nature Medicine</u> , 2:6:689-692.

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	C123	Pastan et al., (1989), "Pseudomonas Exotoxin: Chimeric Toxins," <u>Journal of Biological Chemistry</u> , 264:26:15157-15160.
	C124	Paul et al., (1988), "Lymphotoxin," <u>Ann. Rev. Immunol.</u> , 6:407-438.
	C125	Perez et al., (1986), "Specific Targeting of Human Peripheral Blood T Cells by Heteroaggregates Containing Anti-T3 Crosslinked to Anti-Target cell antibodies," <u>J. Exp. Medicine</u> , 163:166-178.
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	C127	Polizzi et al., (1999), "A novel taxane with improved tolerability and therapeutic activity in a panel of human tumor xenografts," <u>Cancer Research</u> , 59:1036-1040.
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	C129	Reisfeld et al., (1996), "Recombinant antibody fusion proteins for cancer immunotherapy," <u>Current Topics in Microbiology and Immunology</u> , 27-53.
	C130	Reisfeld et al., (1997), "Immunocytokines: a new approach to immunotherapy of melanoma," <u>Melanoma Research</u> , 7:2:S99-S106.
	C131	Riethmuller et al., (1994), "Randomised trial of monoclonal antibody for adjuvant therapy of resected Dukes' C colorectal carcinoma," <u>The Lancet</u> , 343:1177-1183.
	C132	Roessler et al., (1994), "Cooperative interactions between the interleukin 2 receptor α and β chains alter the interleukin 2-binding affinity of the receptor subunits," <u>Proc. Natl. Acad. Sci.</u> , 91:3344-3347.
	C133	Roitt et al., (1993), "The Role of TH Cells in the Selection of Effector Mechanisms Directed Against Target Antigens," <u>Immunology</u> , Third Edition, 8.3-8.4.
	C134	Rosenberg, (1988), "Immunotherapy of Cancer Using Interleukin 2: current status and future prospects," <u>Immunology Today</u> , 9:2:58-62.
	C135	Rozwarski et al., (1994), "Structural comparisons among the short-chain helical cytokines," <u>Structure</u> , 2:3:159-173.
	C136	Santon et al., (1986), "Effects of Epidermal Growth Factor Receptor Concentration on Tumorigenicity of A431 Cells in Nude Mice," <u>Cancer Research</u> , 46:4701-4705.
	C137	Sasaki et al., (1998), "Structure, function and tissue forms of the C-terminal globular domain of collagen XVII containing the angiogenesis inhibitor endostatin," <u>The EMBO Journal</u> , 17:15:4249-4256.
✓	C138	Sauve et al., (1991), "Localization in human interleukin 2 of the binding site of the α chain (p55) of the interleukin 2 receptor," <u>Proc. Natl. Acad. Sci.</u> , 88:4636-4640.

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<i>guf</i>	C139	Schnee et al., (1987), "Construction and expression of a recombinant antibody-targeted plasminogen activator," <u>Proc. Natl. Acad. Sci.</u> , 84:6904-6908.
<i> </i>	C140	Schoenhaut et al., (1992), "Cloning and Expression of Murine IL-12," <u>Journal of Immunology</u> , 148:11:3433-3340.
<i> </i>	C141	Senter et al., (1988), "Anti-tumor effects of antibody-alkaline phosphatase conjugates in combination with etoposide phosphate," <u>Proc. Natl. Acad. Sci.</u> , 85:13:4842-4846.
<i> </i>	C142	Shanafelt et al., (2000), "A T-cell-selective interleukin 2 mutein exhibits potent antitumor activity and is well tolerated in vivo," <u>Nature Biotechnology</u> , 18:1197-1202.
<i> </i>	C143	Sharma et al., (1999), "T cell-derived IL-10 promotes lung cancer growth by suppressing both T cell and APC function," <u>Journal of Immunology</u> , 163:5020-5028.
<i> </i>	C144	Shen et al., (1986), "Heteroantibody-Mediated Cytotoxicity: Antibody to the high affinity Fc receptor for IgG mediates cytotoxicity by human monocytes that is enhanced by interferon- λ and is not blocked by human IgG," <u>Journal of Immunology</u> , 137:11:3378-3382.
<i> </i>	C145	Shiff et al., (1995), "Sulindac Sulfide, an Aspirin-like Compound, Inhibits Proliferation, Causes Cell Cycle Quiescence, and Induces Apoptosis in HT-29 Colon Adenocarcinoma Cells," <u>Journal of Clinical Investigation</u> , 96:491-503.
<i> </i>	C146	Shin et al., (1990), "Expression and characterization of an antibody binding specificity joined to insulin-like growth factor 1: Potential applications for cellular targeting," <u>Proc. Natl. Acad. Sci.</u> , 87:5322-5326.
<i> </i>	C147	Sim et al., (1997), "A Recombinant Human Angiostatin Protein Inhibits Experimental Primary and Metastatic Cancer," <u>Cancer Research</u> , 57:1329-1334.
<i> </i>	C148	Stevenson et al., (1997), "Conjugation of Human Fc γ in Closed-Hinge or Open-Hinge Configuration to Fab' and Analogous Ligands," <u>Journal of Immunology</u> , 158:2242-2250.
<i> </i>	C149	Sulitzeanu et al., (1993), "Immunosuppressive factors in human cancer," <u>Adv. Cancer Research</u> , 60:247-267.
<i> </i>	C150	Taniguchi et al., (1983), "Structure and expression of a cloned cDNA for human interleukin-2," <u>Nature</u> , 302:305-309.
<i> </i>	C151	Tao et al., (1989), "Studies of Aglycosylated Chimeric Mouse IgG: Role of Carbohydrate in the Structure and Effector Functions Mediated by the Human IgG Constant Region," <u>Journal of Immunology</u> , 143:8:2595-2601.
<i> </i>	C152	Tao et al., (1993), "Structural Features of Human Immunoglobulin G that Determine Isotype-Differences in Complement Activation," <u>Journal of Experimental Medicine</u> , 178:2:661-667.
<i> </i>	C153	Teicher et al., (1994), "Potentiation of Cytotoxic Cancer Therapies by TNP-470 Alone and With Other Anti-Angiogenic Agents," <u>Int. J. Cancer</u> , 57:920-925.
<i> </i>	C154	<u>The Merck Manual of Diagnosis and Therapy</u> , 990-993, 1278-1283 (17 th ed. 1999).
<i>✓</i>	C155	Till et al., (1988), "An Assay that Predicts the Ability of Monoclonal Antibodies to Form Potent Ricin A Chain-containing Immunotoxins," <u>Cancer Research</u> , 48:5:1119-1123

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FORM PTO - 1449		ATTORNEY DOCKET NO.: LEX-011 (4006/23)
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		APPLICANT(S): Gillies et al.
		SERIAL NO.: 09/780,668 CONF.: 8264
		FILING DATE: February 09, 2001 GROUP: 1644
✓	C156	Till et al., (1988), "HIV-Infected Cells are Killed by rCD4-Ricin A Chain," <u>Science</u> , 242:1166-1168
✓	C157	Trinchieri, (1994), "Interleukin-12: A Cytokine Produced by Antigen-Presenting Cells With Immunoregulatory Functions in the Generation of T-Helper Cells Type 1 and Cytotoxic Lymphocytes," <u>Blood</u> , 84:4008-4027.
✓	C158	Vagliani et al., (1996), "Interleukin 12 Potentiates the Curative Effect of a Vaccine Based on Interleukin 2-transduced Tumor Cells," <u>Cancer Research</u> , 56:467-470.
✓	C159	Varki et al., (1984), "Antigens Associated with a human lung adenocarcinoma defined by monoclonal antibodies," <u>Cancer Research</u> , 44:681-687.
✓	C160	Verhoeven et al., (1988), "Reshaping Human Antibodies: Grafting an Antilysozyme Activity," <u>Science</u> , 239:1534-1536.
✓	C161	Villunger et al., (1997), "Constitutive expression of Fas (Apo-1/CD95) ligand on multiple myeloma cells: a potential mechanism of tumor-induced suppression of immune surveillance," <u>Blood</u> , 90:1:12-20.
✓	C162	Watanabe et al., (1997), "Long-term depletion of naive T cells in patients treated for Hodgkin's disease," <u>Blood</u> , 90:9:3662-3672.
✓	C163	Wen et al., (1993), "Erythropoietin Structure-Function Relationships: High Degree of Sequence Homology Among Mammals," <u>Blood</u> , 82:1507-1516.
✓	C164	Williams et al., (1986), "Production of antibody-tagged enzymes by myeloma cells: application to DNA polymerase I Klenow fragment," <u>Gene</u> , 43:319-324.
✓	C165	Williams et al., (1987), "Diphtheria toxin receptor binding domain substitution with interleukin-2: genetic construction and properties of a diphtheria toxin-related interleukin-2 fusion protein," <u>Protein Engineering</u> , 1:6:493-498.
✓	C166	Wooley et al., (1993), "Influence of a Recombinant Human Soluble Tumor Necrosis Factor Receptor Fusion Protein on Type II Collagen-Induced Arthritis in Mice," <u>Journal Immunology</u> , 151: 6602-6607.
✓	C167	Wu et al., (1997), "Suppression of Tumor Growth with Recombinant Murine Angiostatin," <u>Biochemical and Biophysical Research Communications</u> , 236:651-654.
✓	C168	Xiang et al., (1997), "Elimination of Established Murine Colon Carcinoma Metastases by Antibody-Interleukin 2 Fusion Protein Therapy," <u>Cancer Research</u> , 57:4948-4955.
✓	C169	Zheng et al., (1995), "Administration of noncytolytic IL-10/Fc in murine models of lipopolysaccharide-induced septic shock and allogeneic islet transplantation," <u>Journal of Immunology</u> , 154:5590-5600.
✓	C170	Xu et al., (1994), "Residue at Position 331 in the IgG1 and IgG4 CH2 Domains Contributes to Their Differential Ability to Bind and Activate Complement," <u>J. Biol. Chem.</u> , 269:3469-3474.

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